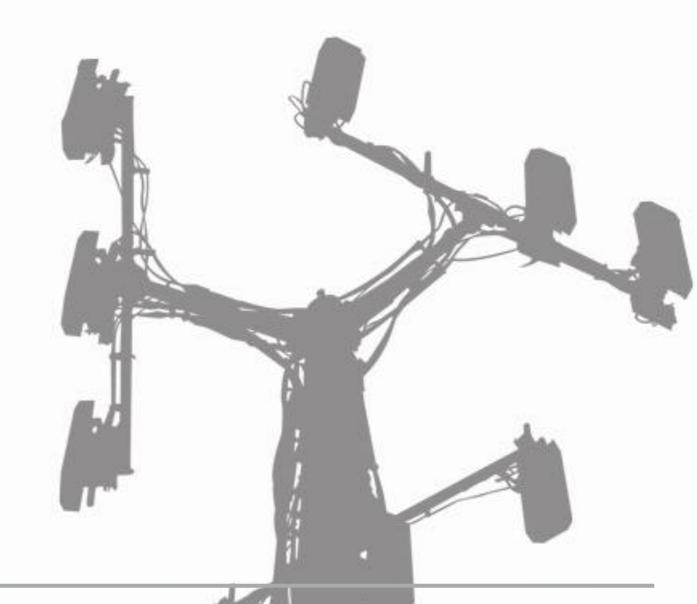




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**OCTOBER 7, 2020** 

# PREPARING FOR UPCOMING GRANT CYCLES

# OVERVIEW

This webinar is designed to provide helpful information and tips while awaiting the upcoming grants cycle. The following topics will be reviewed:

- FY2019 National Defense Authorization Act (NDAA) Sec. 889 Prohibition
- Funding Projects When Grants Are Not Open for Application
- Significance of Multi-Discipline vs. Single-Discipline Grants
- Preparing the Grant Application(s) in Advance of Application Period Opening
- Homeland Security Grant Program 2019 vs. 2020 Funding
- Funding Smaller Jurisdictions and Tribal Nations
- All Things FirstNet Grant Support



#### **EFFECTIVE DATES:**

- August 13, 2019 for Federal Agencies
- <u>August 13, 2020</u> for State, Local, and Tribal Governments

#### **NDAA Section 889(b)**

PUBLIC LAW 115-232 – August 13, 2019 132 STAT. 1917-1919 (Pgs. 283-285)

In summation, prohibits using federal grant or loan funds to purchase covered telecommunications and video surveillance equipment/services from any Chinese company, its subsidiaries, or affiliates.

The FY2019 John S. McCain National Defense Authorization Act can be accessed at:

https://www.congress.gov/115/plaws/publ232/PLAW-115publ232.pdf



The term "covered telecommunications equipment or services" means any of the following:

- Telecommunications equipment produced by <u>Huawei Technologies Company</u> or <u>ZTE Corporation</u> (or any subsidiary or affiliate of such entities)
- For the purpose of public safety, security of government facilities, physical security surveillance of critical infrastructure, and other national security purposes, video surveillance and telecommunications equipment produced by <u>Hytera Communications Corporation</u>, <u>Hangzhou Hikvision Digital Technology</u> <u>Company</u>, or <u>Dahua Technology Company</u> (or any subsidiary or affiliate of such entities)
- Telecommunications or video surveillance services provided by such entities or using such equipment
- Telecommunications or video surveillance equipment or services produced or provided by an entity that the Secretary of Defense, in consultation with the Director of the National Intelligence or the Director of the Federal Bureau of Investigation, reasonably believes to be an entity owned or controlled by, or otherwise connected to, the government of a covered foreign country



The term **"covered foreign country"** for purposes of the NDAA Sec. 889 is defined as the following:

Sec. 889(f)(2) – COVERED FOREIGN COUNTRY – The term "covered foreign country" means the People's Republic of China.

The University of Maryland, Division of Information Technology has compiled a current list of such China Companies, Subsidiaries, and Affiliates which can be accessed at:

https://umd.service-

now.com/itsupport/?id=kb\_article\_view&sysparm\_article=KB0014132&sys\_kb\_id=28015b70dbe 0e3849382f1a51d96193f



The Federal Emergency Management Agency (FEMA) has included the prohibition of the NDAA Sec. 889 in its FY2020 FEMA Preparedness Grants Manual (Pgs. 17-18) which states the following and can be accessed at:

<u>https://www.fema.gov/media-library-data/1581623378002-</u> <u>f8280c8c1ab2e38f650fe67289764826/FEMA\_PreparednessGrantsManual\_21320\_1605\_508c.pdf</u>

Prohibitions on Expending Grant or Cooperative Agreement Funds for Certain Telecommunications and Video Surveillance Services or Equipment

Effective August 13, 2020, DHS/FEMA recipients and subrecipients may not use grant funds under the programs covered by this Manual and provided in FY 2020 or previous years to:

1. Procure or obtain, extend or renew a contract to procure or obtain, or enter into a contract to procure or obtain any equipment, system, or service that uses "covered telecommunications equipment or services" as a substantial or essential component of any system, or as critical technology of any system; or

2. Enter into contracts or extend or renew contracts with entities that use "covered telecommunications equipment or services" as a substantial or essential component of any system, or as critical technology as part of any system.



**FY2020 FEMA Preparedness Grants Manual (Pgs. 17-18) - Prohibitions on Expending Grant or Cooperative Agreement Funds for Certain Telecommunications and Video Surveillance Services or Equipment (Cont.)** 

This prohibition regarding certain telecommunications and video surveillance services or equipment is mandated by section 889 of the John S. McCain National Defense Authorization Act for Fiscal Year 2019 (FY 2019 NDAA), Pub. L. No. 115-232 (2018).

Recipients and subrecipients may use DHS/FEMA grant funding to procure replacement equipment and services impacted by this prohibition, provided the costs are otherwise consistent with the requirements of this Manual, applicable appendix to this Manual, and applicable NOFO.

DHS/FEMA will publish additional guidance in a subsequent Information Bulletin or similar notice.



**FY2020 FEMA Preparedness Grants Manual (Pgs. 17-18) - Prohibitions on Expending Grant or Cooperative Agreement Funds for Certain Telecommunications and Video Surveillance Services or Equipment (Cont.)** 

Per section 889(f)(2)-(3) of the FY 2019 NDAA, covered telecommunications equipment or services means:

**1.** Telecommunications equipment produced by Huawei Technologies Company or ZTE Corporation, (or any subsidiary or affiliate of such entities);

2. For the purpose of public safety, security of Government facilities, physical security surveillance of critical infrastructure, and other national security purposes, video surveillance and telecommunications equipment produced by Hytera Communications Corporation, Hangzhou Hikvision Digital Technology Company, or Dahua Technology Company (or any subsidiary or affiliate of such entities);

**3.** Telecommunications or video surveillance services provided by such entities or using such equipment; or

**4.** Telecommunications or video surveillance equipment or services produced or provided by an entity that the Secretary of Defense, in consultation with the Director of National Intelligence or the Director of the Federal Bureau of Investigation, reasonably believes to be an entity owned or controlled by, or otherwise connected to, the People's Republic of China.



# Questions?



# FUNDING PROJECTS WHEN GRANTS ARE NOT OPEN FOR APPLICATION

### **De-Obligated Funds**

- Seek availability of de-obligated Funds from the State Administrative Agency[ies] (SAA)
  - Obtain grant application forms from the SAA
  - Have grant application prepared in advance
  - Communicate project priority and significance to SAA
  - Submit grant application to SAA and request that application be held for consideration of any deobligated funds ("shelfed")
  - Request project to be considered for any future de-obligated funds

### **Reassessment of Existing Grant Funded Projects for Priority and/or Cost Savings**

- Evaluate existing projects to determine whether any funds can be redirected to meet higher priority needs
- Evaluate existing projects for potential of cost savings for which funds may be redirected
- Seek forms from the SAA for "Project Change Request" or equivalent



### SIGNIFICANCE OF MULTI-DISCIPLINE VS. SINGLE-DISCIPLINE GRANTS

### **Multi-Discipline Grants**

- Can fund most public safety disciplines
- Ideal for multi-*discipline* projects
- Ideal for multi-*jurisdiction* projects
- Provides simplification in assuring compliance with a single source guidance

### **Single-Discipline Grants**

- Typically funds only a single discipline type (e.g., law enforcement or fire)
- Complicated when coordinating multi-discipline projects
- Management of multiple grant sources can become complex
- Compliance with multiple grant guidance documents can be overwhelming when coordinating and implementing multi-discipline, multi-jurisdiction projects



### PREPARING GRANT APPLICATION(S) IN ADVANCE OF APPLICATION PERIOD OPENING

By the time the federal government opens a grant for application by the State, the State has generally already finished its internal cycle for soliciting and determining which projects they are intending to fund. Therefore, it is critical that local and tribal government entities are diligent in making contact with the State Administrative Agency (SAA) for the specific grant(s) they are seeking to obtain forms and learn the State's policies and processes.

- **<u>DO NOT</u>** wait until federal grant opens for application
- Get your project on the radar of the State Administrative Agency (SAA)
- Three major components of content for a grant application
  - *What* are you requesting?
  - *Why* are you requesting it?
  - Consequences if NOT funded!!! (Most forgotten component)
- Consequences to be considered:
  - What are the consequences to First Responder Safety?
  - What are the consequences to Public Safety?
  - What are the consequences to Community Safety?



### PREPARING GRANT APPLICATION(S) IN ADVANCE OF APPLICATION PERIOD OPENING (CONT.)

View available grant resources on All Things FirstNet (ATFN):

- Using Federal Grants to Fund FirstNet Projects
- Using Federal Grants to Fund FirstNet Projects for Fire & EMS Agencies
- Using Federal Grants to Fund FirstNet Projects for Law Enforcement
- Using Federal Grants to Fund FirstNet Projects for Tribal Nations

See all of the available grant webinars here: https://allthingsfirstnet.com/category/grants/webcast/



# Questions?



## HOMELAND SECURITY GRANT PROGRAM FY2019 VS. FY2020 FUNDING COMPARISON

#### HOMELAND SECURITY GRANT PROGRAM (HSGP) State Homeland Security Program (SHSP) FY2019 vs. FY2020 FUNDING COMPARISON

	-	FY2019		FY2020				FY2019	1	FY2020		
STATE/TERRITORY		ALLOCATION	I	ALLOCATION	D	IFFERENCE	STATE/TERRITORY	ALLOCATION		ALLOCATION	Γ	DIFFERENCE
Alabama	\$	4,077,500.00	\$	4,287,500.00	\$	210,000.00	Montana	\$ 4,077,500.00	\$	4,287,500.00	\$	210,000.00
Alaska	\$	4,077,500.00	\$	4,287,500.00	\$	210,000.00	Nebraska	\$ 4,077,500.00	\$	4,287,500.00	\$	210,000.00
American Samoa	\$	1,000,000.00	\$	1,000,000.00	\$	-	Nevada	\$ 4,077,500.00	\$	4,287,500.00	\$	210,000.00
Arizona .	\$	4,077,500.00	\$	4,287,500.00	\$	210,000.00	New Hampshire	\$ 4,077,500.00	\$	4,287,500.00	\$	210,000.00
Arkansas	\$	4,077,500.00	\$	4,287,500.00	\$	210,000.00	New Jersey	\$ 8,000,000.00	\$	7,692,000.00	\$	(308,000.00)
California	\$	62,011,000.00	\$	62,011,000.00	\$	-	New Mexico	\$ 4,077,500.00	\$	4,287,500.00	\$	210,000.00
Colorado	\$	4,077,500.00	\$	4,287,500.00	\$	210,000.00	New York	\$ 76,930,000.00	\$	73,968,000.00	\$	(2,962,000.00)
Connecticut	\$	4,077,500.00	\$	4,287,500.00	\$	210,000.00	North Carolina	\$ 5,750,000.00	\$	5,529,000.00	\$	(221,000.00)
Delaware	\$	4,077,500.00	\$	4,287,500.00	\$	210,000.00	North Dakota	\$ 4,077,500.00	\$	4,287,500.00	\$	210,000.00
District of Columbia	\$	5,750,000.00	\$	5,529,000.00	\$	(221,000.00)	Northern Mariana	\$ 1,000,000.00	\$	1,000,000.00	\$	-
Florida	\$	10,566,000.00	\$	10,159,000.00	\$	(407,000.00)	Ohio	\$ 7,000,000.00	\$	6,731,000.00	\$	(269,000.00)
Georgia	\$	5,750,000.00	\$	5,750,000.00	\$	-	Oklahoma	\$ 4,077,500.00	\$	4,287,500.00	\$	210,000.00
Guam	\$	1,000,000.00	\$	1,000,000.00	\$	-	Oregon	\$ 4,077,500.00	\$	4,287,500.00	\$	210,000.00
Hawaii	\$	4,077,500.00	\$	4,287,500.00	\$	210,000.00	Pennsylvania	\$ 9,200,000.00	\$	8,846,000.00	\$	(354,000.00)
Idaho	\$	4,077,500.00	\$	4,287,500.00	\$	210,000.00	Puerto Rico	\$ 4,077,500.00	\$	4,287,500.00	\$	210,000.00
Illinois	\$	15,712,000.00	\$	15,107,000.00	\$	(605,000.00)	Rhode Island	\$ 4,077,500.00	\$	4,287,500.00	\$	210,000.00
Indiana	\$	4,077,500.00	\$	4,287,500.00	\$	210,000.00	South Caraolina	\$ 4,077,500.00	\$	4,287,500.00	\$	210,000.00
Iowa	\$	4,077,500.00	\$	4,287,500.00	\$	210,000.00	South Dakota	\$ 4,077,500.00	\$	4,287,500.00	\$	210,000.00
Kansas	\$	4,077,500.00	\$	4,287,500.00	\$	210,000.00	Tennessee	\$ 4,077,500.00	\$	4,287,500.00	\$	210,000.00
Kentucky	\$	4,077,500.00	\$	4,287,500.00	\$	210,000.00	Texas	\$ 20,591,000.00	\$	19,799,000.00	\$	(792,000.00)
Louisiana	\$	4,077,500.00	\$	4,287,500.00	\$	210,000.00	U.S. Virgin Islands	\$ 1,000,000.00	\$	1,000,000.00	\$	-
Maine	\$	4,077,500.00	\$	4,287,500.00	\$	210,000.00	Utah	\$ 4,077,500.00	\$	4,287,500.00	\$	210,000.00
Maryland	\$	8,000,000.00	\$	7,692,000.00	\$	(308,000.00)	Vermont	\$ 4,077,500.00	\$	4,287,500.00	\$	210,000.00
Massachusetts	\$	7,000,000.00	\$	6,731,000.00	\$	(269,000.00)	Virginia	\$ 9,200,000.00	\$	8,846,000.00	\$	(354,000.00)
Michigan	\$	5,750,000.00	\$	5,529,000.00	\$	(221,000.00)	Washington	\$ 7,000,000.00	\$	6,731,000.00	\$	(269,000.00)
Minnesota	\$	4,077,500.00	\$	4,287,500.00	\$	210,000.00	West Virginia	\$ 4,077,500.00	\$	4,287,500.00	\$	210,000.00
Mississippi	\$	4,077,500.00	\$	4,287,500.00	\$	210,000.00	Wisconsin	\$ 4,077,500.00	\$	4,287,500.00	\$	210,000.00
Missouri	\$	4,077,500.00	\$	4,287,500.00	\$	210,000.00	Wyoming	\$ 4,077,500.00	\$	4,287,500.00	\$	210,000.00
Subtotals:	\$	195,934,000.00	\$	197,683,000.00	\$	1,749,000.00	Subtotals:	\$ 219,066,000.00	\$	217,317,000.00	\$	(1,749,000.00)
								\$ 415,000,000.00	\$	415,000,000.00		\$0.00
								FY2019 TOTAL		FY2020 TOTAL	I	DIFFERENCE



### HOMELAND SECURITY GRANT PROGRAM FY2019 VS. FY2020 FUNDING COMPARISON (CONT.)

### HOMELAND SECURITY GRANT PROGRAM (HSGP) State Homeland Security Program (SHSP) DESIGNATED FUNDING RANGES

		2020 FUNDING	F	Y2020 FUNDING		RANGE	
STATE/TERRITORY		MINIMUM	MAXIMUM			VARIANCE	
New York	\$	59,174,000.00	\$	73,698,000.00	\$	14,524,000.00	
California	\$	49,608,800.00	\$	62,011,000.00	\$	12,402,200.00	
Texas	\$	15,839,200.00	\$	19,799,000.00	\$	3,959,800.00	
Illinois	\$	12,085,600.00	\$	15,107,000.00	\$	3,021,400.00	
Florida	\$	8,127,200.00	\$	10,159,000.00	\$	2,031,800.00	
Virginia	\$	7,076,800.00	\$	8,846,000.00	\$	1,769,200.00	
Georgia	\$	4,600,000.00	\$	5,750,000.00	\$	1,150,000.00	
Pennsylvania	\$	7,076,800.00	\$	8,846,000.00	\$	1,769,200.00	
Maryland	\$	6,153,600.00	\$	7,692,000.00	\$	1,538,400.00	
New Jersey	\$	6,153,600.00	\$	7,692,000.00	\$	1,538,400.00	
Washington	\$	5,384,800.00	\$	6,731,000.00	\$	1,346,200.00	
Massachusetts	\$	5,384,800.00	\$	6,731,000.00	\$	1,346,200.00	
Ohio	\$	5,384,800.00	\$	6,731,000.00	\$	1,346,200.00	
North Carolina	\$	4,423,200.00	\$	5,529,000.00	\$	1,105,800.00	
District of Columbia	\$	4,423,200.00	\$	5,529,000.00	\$	1,105,800.00	
Michigan		4,423,200.00	\$	5,529,000.00	\$	1,105,800.00	



### HOMELAND SECURITY GRANT PROGRAM FY2019 VS. FY2020 FUNDING COMPARISON (CONT.)

#### HOMELAND SECURITY GRANT PROGRAM (HSGP) Urban Area Security Initiative (UASI) FY2019 vs FY2020 FUNDING COMPARISON

			FY2019	F	Y2020 MINIMUM	F	Y2020 MAXIMUM	F	Y2019 vs. FY2020
STATE/TERRIROTY	FUNDED URBAN AREA		ALLOCATION		ALLOCATION		ALLOCATION		DIFFERENCE
Arizona	Phoenix Area	\$	4,000,000.00	\$	4,200,000.00	\$	5,250,000.00	\$	1,250,000.00
	Anaheim/Santa Ana Area	\$	5,000,000.00	\$	4,200,000.00	\$	5,250,000.00	\$	250,000.00
	Bay Area	\$	27,500,000.00	\$	30,000,000.00	\$	37,500,000.00	\$	10,000,000.00
	Los Angeles/Long Beach Area	\$	68,000,000.00	\$	54,400,000.00	\$	68,000,000.00	\$	-
California	Riverside Area	\$	3,250,000.00	\$	2,800,000.00	\$	3,500,000.00	\$	250,000.00
	Sacramento Area	\$	3,250,000.00	\$	2,800,000.00	\$	3,500,000.00	\$	250,000.00
	San Diego Area	\$	16,900,000.00	\$	13,520,000.00	\$	16,900,000.00	\$	-
Colorado	Denver Area	\$	3,250,000.00	\$	2,800,000.00	\$	3,500,000.00	\$	250,000.00
District of Columbia	National Capitol Region	\$	52,750,000.00	\$	41,400,000.00	\$	51,750,000.00	\$	(1,000,000.00)
	Miami/Fort Lauderdale Area	\$	7,000,000.00	\$	11,800,000.00	\$	14,750,000.00	\$	7,750,000.00
Florida	Orlando Area	\$	3,250,000.00	\$	2,800,000.00	\$	3,500,000.00	\$	250,000.00
	Tampa Area	\$	3,250,000.00	\$	2,800,000.00	\$	3,500,000.00	\$	250,000.00
Georgia	Atlanta Area	\$	6,000,000.00	\$	5,000,000.00	\$	6,250,000.00	\$	250,000.00
Hawaii	Honolulu Area	\$	3,250,000.00	\$	2,800,000.00	\$	3,500,000.00	\$	250,000.00
Illinois	Chicago Area	\$	68,000,000.00	\$	54,400,000.00	\$	68,000,000.00	\$	-
Louisiana	New Orleans Area	\$	-	\$	2,800,000.00	\$	3,500,000.00	\$	3,500,000.00
Maryland	Baltimore Area	\$	4,000,000.00	\$	3,400,000.00	\$	4,250,000.00	\$	250,000.00
Massachusetts	Boston Area	\$	16,900,000.00	\$	13,520,000.00	\$	16,900,000.00	\$	-
Michigan	Detroit Area	\$	5,000,000.00	\$	4,200,000.00	\$	5,250,000.00	\$	250,000.00
Minnesota	Twin Cities Area	\$	5,000,000.00	\$	4,200,000.00	\$	5,250,000.00	\$	250,000.00
Missouri	St. Louis Area	\$	3,250,000.00	\$	2,800,000.00	\$	3,500,000.00	\$	250,000.00
Nevada	Las Vegas Area	\$	5,000,000.00	\$	4,200,000.00	\$	5,250,000.00	\$	250,000.00
New Jersey	Jersey City/Newark Area	\$	20,050,000.00	\$	15,240,000.00	\$	19,050,000.00	\$	(1,000,000.00)
New York	New York City Area	\$	178,750,000.00	\$	143,000,000.00	\$	178,750,000.00	\$	-
Oregon	Portland Area	\$	3,250,000.00	\$	2,800,000.00	\$	3,500,000.00	\$	250,000.00
Pennsylvania	Philadelphia Area	\$	16,900,000.00	\$	13,520,000.00	\$	16,900,000.00	\$	-
	Pittsburgh Area	\$	3,250,000.00	\$	2,800,000.00	\$	3,500,000.00	\$	250,000.00
	Dallas/Fort Worth/Arlington Area	\$	16,900,000.00	\$	13,520,000.00	\$	16,900,000.00	\$	-
Texas	Houston Area	\$	24,600,000.00	\$	19,680,000.00	\$	24,600,000.00	\$	-
	San Antonio Area	\$	3,250,000.00	\$	2,800,000.00	\$	3,500,000.00	\$	250,000.00
Virginia	Hampton Roads Area	\$	3,250,000.00	\$	2,800,000.00	\$	3,500,000.00	\$	250,000.00
Washington	Seattle Area	\$	6,000,000.00	\$	5,000,000.00	\$	6,250,000.00	\$	250,000.00
<u> </u>		\$	590,000,000.00			\$	615,000,000.00	\$	25,000,000.00



# FUNDING SMALLER JURISDICTIONS AND TRIBAL NATIONS

Historically, smaller and more rural jurisdictions and tribal nations have had to struggle to reach even some of the minimum terrorism and emergency preparedness goals due to lack of funding.

Within states, the focus and priority for most of the funding from large grant programs has been placed on the larger cities and counties, leaving the smaller jurisdictions and tribal nations with scarce and, in some cases, non-existent resources necessary to meet some of the most basic of capabilities.

#### **RECOMMENDATIONS:**

It is recommended that smaller jurisdictions and tribal nations consider the following:

- Collaborate and cooperate with surrounding jurisdictions
- Seek U.S. Department of Agriculture Grants
- Actively pursue de-obligated funds potentially on hand with the SAAs



# Questions?



## ALL THINGS FIRSTNET GRANT SUPPORT

All Things FirstNet can offer the following free services in assisting agencies wanting to apply for federal grants:

- Assist agency with review of a needs analysis/assessment to ensure consideration of all project categorical components
- Assist agency with identifying potential federal grant opportunities and provide State point(s) of contact information for a grant program(s) under consideration for application
- Assist agency with cost eligibility criteria as per grant program guidance
- Assist agency with navigating grant application process(es)



# ALL THINGS FIRSTNET

## FOR MORE INFORMATION AND RESOURCES PLEASE VISIT THE FOLLOWING WEBSITE:

## ALLTHINGSFIRSTNET.COM



# THANK YOU FOR ATTENDING

## FOR ANY ADDITIONAL QUESTIONS REGARDING GRANTS/DISASTER ASSISTANCE, PLEASE CONTACT US AT:

## GRANTS@ALLTHINGSFIRSTNET.COM

